

Dear Board Members:

Below is a register (the "Register") of persons or firms (each, a "vendor") that have matters listed on the agenda for the February 6, 2025, Finance and Audit Committee meeting. Also, if applicable, the Register identifies real estate about which the Board will take action this month. If you or one of your family members¹ has:

1. **stock or another equity ownership interest in a vendor listed on the Register**, please refer to Part 1 below;
2. **an ownership interest in real estate listed on the Register**, please refer to Part 2 below.
3. **received in the last 12 months income from a vendor listed on the Register**; please refer to Part 3 below;
4. **received in the last 12 months a gift or gifts from a vendor listed on the Register**; please refer to Part 4 below.

If a **"family relationship"**² exists between you and a vendor or any employee or agent of that vendor, please refer to Part 5 below.

If any of the foregoing circumstances exist with regard to more than vendor or more than one parcel of property listed on the Register, please note each vendor or property in the following charts. In addition, in **Part 6** you should describe the basis for your abstention in order to avoid an apparent conflict of interest or an appearance of impropriety pursuant to section III.F of the Board Ethics Policy.

**Register of vendors and properties having matters listed on the agenda
for the Finance & Audit Committee meeting on February 6, 2025.**

Vendors/Properties

Hilltop Securities

¹ Family members are your spouse, domestic partner, children, stepchildren, sons-in-law, daughters-in-law, mother, father, mother-in-law, and father-in-law. Also, any former spouse is deemed a family member if a child of that union is living, and the mother or father of a deceased or divorced spouse is deemed a family member if you and your deceased or divorced spouse had child that is now living.

² A Director has a "family relationship" with everyone listed as a "family member" in footnote 1, as well as the Director's brother, sister, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, great grandchild, great grandparent, and the grandparent or grandchild of the Director's spouse.

Part 3. Income from a listed vendor.

If you or a family member and a vendor listed on the Register have **an employment or business relationship** that results in you or that family member **receiving gross income** from the vendor, please complete the following (attach additional sheets if needed) **and execute the affidavit on the last page of this form.**

The vendors' names: _____		
Recipient's name	Recipient's family relationship with Director	State the nature and extent of the recipient's relationship with the vendor and the approximate percentage of income received from the vendor during preceding 12 months
1. _____ The Director (check if applicable)	Self	
2.		
3.		
[please attach additional sheets as needed]		
<p>If as the result of employment or other business relationship with the vendor, you or a family member received taxable income, other than investment income, that exceeds \$2,500 during the 12 months preceding the date you became aware the vendor has or is seeking a contract with NTTA, you must complete the form above and the affidavit on the last page.</p> <p>Also, if in the last 12 months, you or a family member <u>received more than 10% of your (or the family member's) gross income</u> from vendor named above, <u>you may not</u> participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving that vendor. If you or a family member <u>did not receive more than 10% of your (or the family member's) gross income</u> from that vendor, <u>you are not disqualified</u> from participating in Board deliberations, discussions, or votes concerning an NTTA matter involving that vendor, and you should consider whether to abstain pursuant to Part 6 below.</p>		

Part 5. Disclosure of family relationships with vendors doing business with the NTTA.

If any of the following family relationships exist between (a) you and (b)(i) a vendor listed on the Register or (ii) an owner, employee, or agent of such a vendor, please complete the following **and execute the affidavit on the last page of this form.**

1. The vendor's name: _____		
The vendor's owner, employee, or agent with whom you have a family relationship: _____		
The person listed above is your [check applicable relationship]:		
_____ Spouse/Domestic partner	_____ Mother-in-law	_____ Niece
_____ Child	_____ Father-in-law	_____ Nephew
_____ Step-child	_____ Sibling	_____ Great grandchild
_____ Parent	_____ Sister-in-law	_____ Great grandparent
_____ Daughter-in-law	_____ Brother-in-law	_____ Spouse's grandchild
_____ Son-in-law	_____ Aunt	_____ Spouse's grandparent
_____ Uncle		

Existence of a family relationship does not disqualify you from participating in Board deliberations, discussions, or votes; disclosure of the relationship is all that is required. But you may nonetheless consider whether abstention is appropriate under Part 6 below. **However, if you are disqualified under Parts 1, 2, or 3, you may not participate** in any Board deliberations, discussions, or votes concerning an NTTA matter involving a vendor listed in those parts.

[please attach additional sheets as needed]

Part 6. Abstention: Apparent Conflict/Appearance of Impropriety

Section III.F of the Board Ethics Policy provides as follows:

*F. **Apparent conflict of interest/Appearance of impropriety.** An apparent conflict of interest exists when a reasonable person would believe that a Director's private interest (financial, personal or otherwise) might cause the Director to perform his or her duties in a way other than they would have been performed if the Director had no such private interest. A Director with an apparent conflict of interest shall abstain from participation in that matter and note the basis of that abstention in an affidavit on a form approved by the NTTA filed before the Board takes a vote or other action on the matter. A Director who reasonably believes that his or her involvement in a vote or other action by the Board may give rise to an appearance of impropriety shall abstain from participation in that matter and submit an affidavit in the same manner.*

Please describe below any apparent conflict of interest and appearance of impropriety that you wish to avoid through abstention (and attach additional sheets as needed):